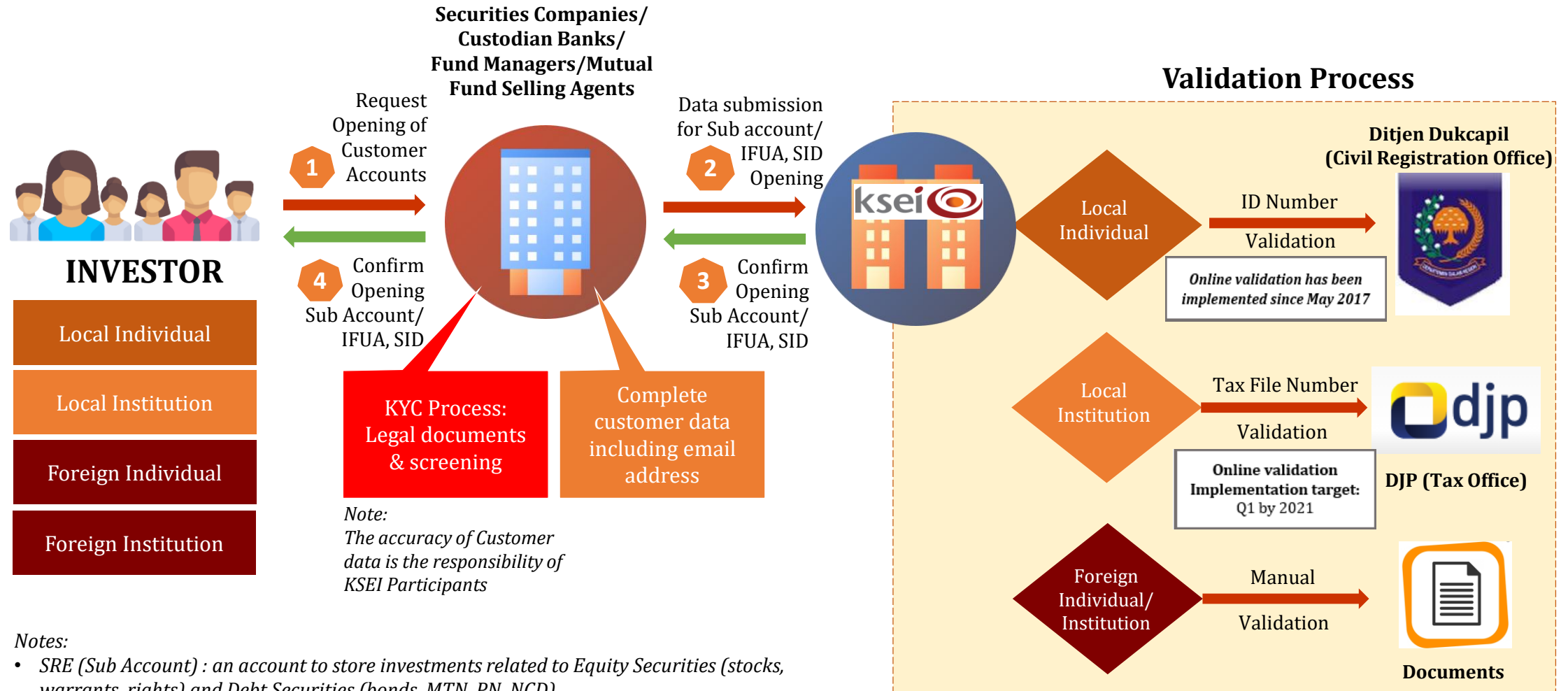


Investor Data Validation



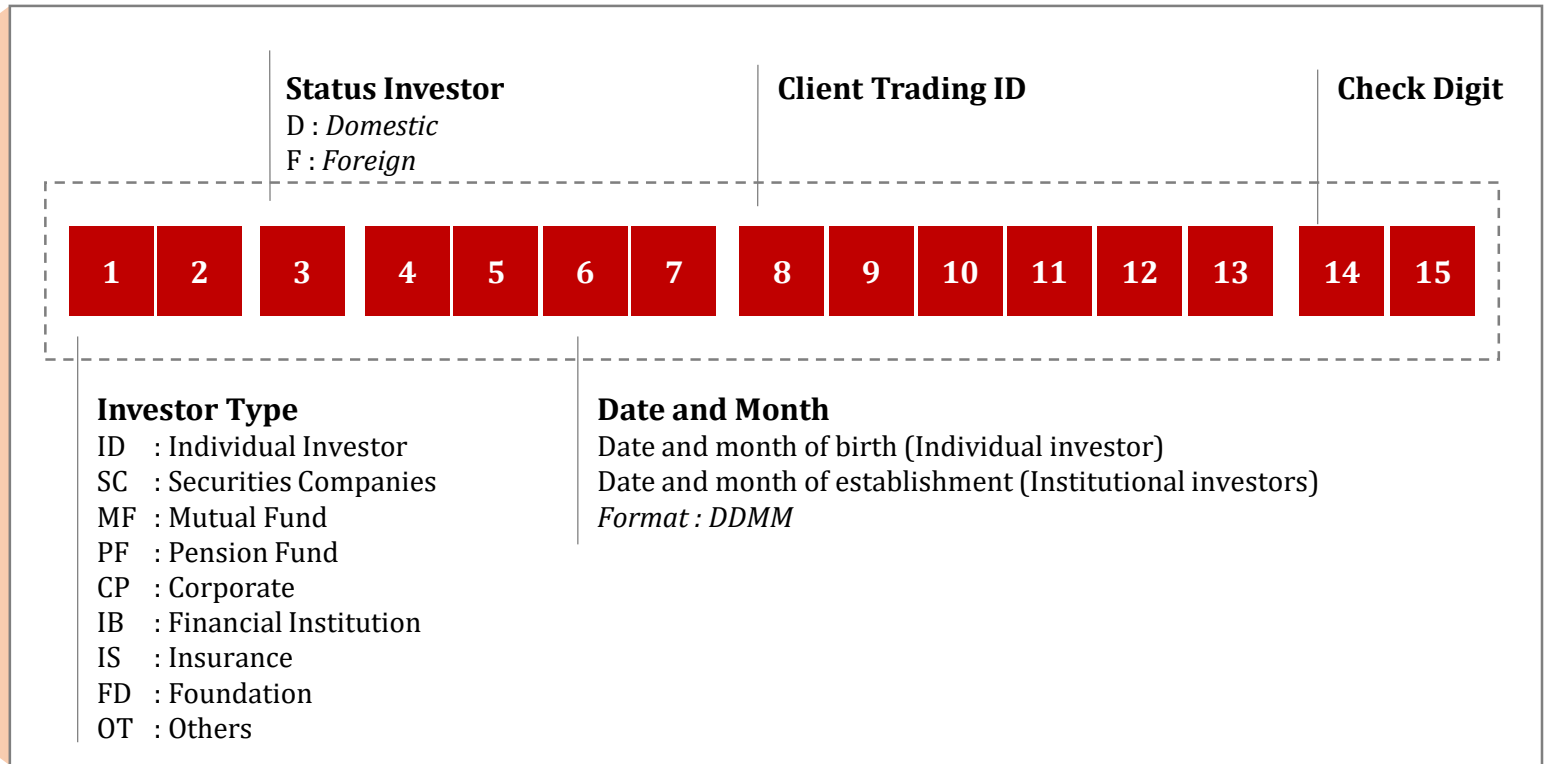
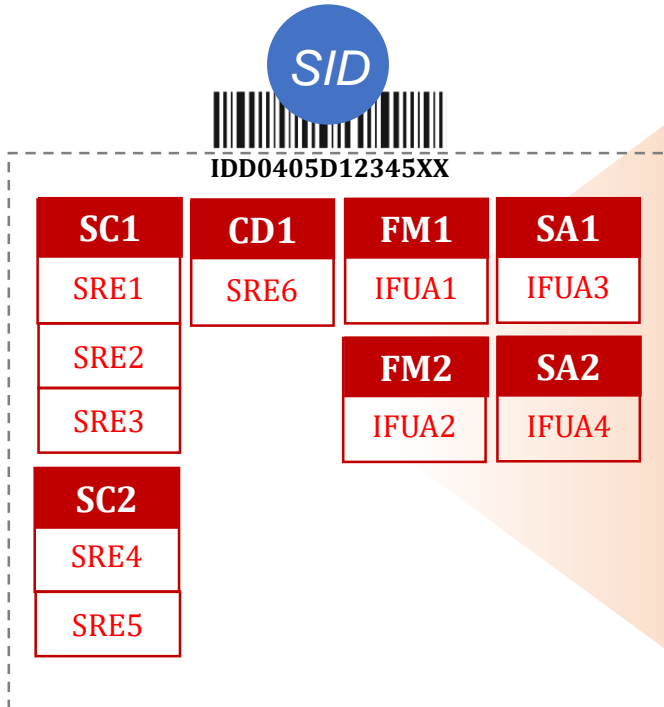
November 26th 2020



Notes:

- *SRE (Sub Account) : an account to store investments related to Equity Securities (stocks, warrants, rights) and Debt Securities (bonds, MTN, PN, NCD)*
- *IFUA (Investor Fund Unit Account) : an account to store investments related to Mutual Fund Units or other investment products*
- *SID: Single Investor Identification*
- *Ditjen Dukcapil : Directorate General of Population and Civil Registration in Indonesia*

Single Investor Identification (SID)



- SID: Identity number for Indonesian Capital Market investors
- One investor = one SID
- SID can connect investor assets in several Sub Account / IFUA to different KSEI Account Holders / S-INVEST Users

| Nationality | Investor Type | Category | Identity Number | Reference Document for Date of Birth/Date of Establishment |
|--------------------|--------------------------------|---------------------|------------------------------|---|
| Domestic | ID | Individual Investor | ID Number | Identity Card (e-KTP) |
| | CP, MF, IB, IS, SC, PF, FD, OT | Institutions | Tax ID Number | Deed Establishment |
| Foreign | ID | Individual Investor | Passport | Passport |
| | CP, MF, IB, IS, SC, PF, FD, OT | Institutions | Business Registration Number | Business Registration Document |

1. Input data by KSEI Participants:

KodeBK | NoRekInvestor | FirstName /
 CompanyName | MiddleName | LastName | KTP | NPWP | Paspor | BusinessRegistrationNumber | TempatLahir
 /Comp. establishment place | Tanggal Lahir / Tanggal Pendirian | Nationality /
 LegalDomicile | TipeInvestor | JenisKelamin | JenisPekerjaan | AlamatIdentitas 1 | AlamatIdentitas
 2 | KodeKota | KodePropinsi | KodeNegara | NoTelp | NoHP | Email

2. Current validation: NIK, Date of Birth, Gender

3. Output to KSEI Participants if **successful**:

| No | Kode BK | NoRek Investor | SID Number | Name | Middle Name | Last Name | KTP | NPWP | Paspor | Business Registration Number | Tanggal Lahir | Tempat Lahir | Status | Keterangan |
|----|---------|----------------|-----------------|------------------|-------------|-----------|------------------|------|--------|------------------------------|---------------|--------------|---------|------------|
| 1. | 9Y000 | beng21D | IDD08107XX09911 | MAX ARIF PRAMONO | | | 3319020XX0530001 | | | | 08-OCT-1953 | Magelang | Success | |

4. Output to KSEI Participants if **NIK cannot be found**:

| No | Kode BK | NoRek Investor | SID Number | Name | Middle Name | Last Name | KTP | NPWP | Paspor | Business Registration Number | Tanggal Lahir | Tempat Lahir | Status | Keterangan |
|----|---------|----------------|------------|-----------------|-------------|-----------|------------------|------|--------|------------------------------|---------------|--------------|--------|-----------------------------------|
| 1. | 9Y000 | DB999 | | Indah Saraswati | | | 9001032512490099 | | | | 26-DEC-1949 | Magelang | Failed | NIK Not Found in Dukcapil Online. |

5. Output to KSEI Participants if the **date of birth or gender does not match**:

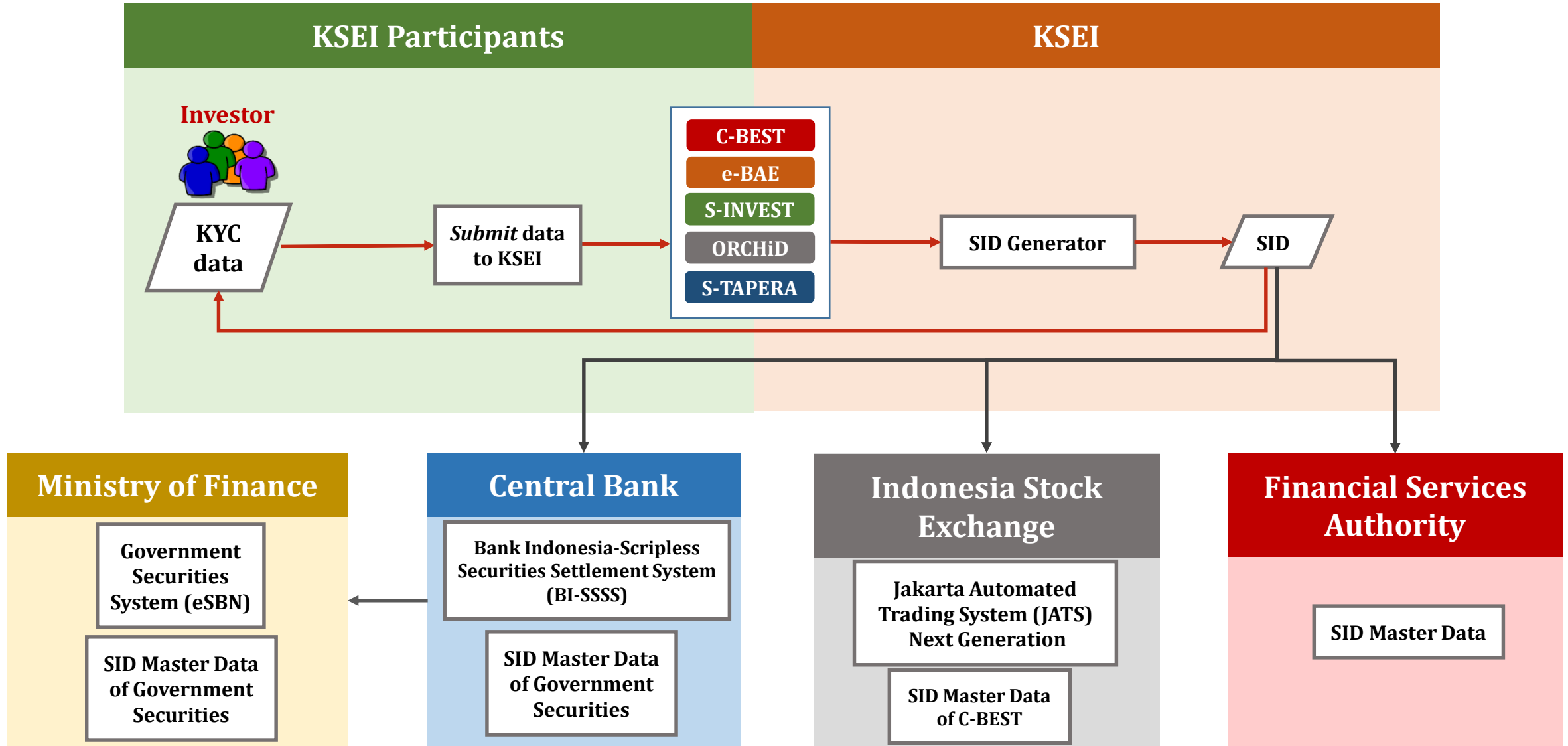
| No | Kode BK | NoRek Investor | SID Number | Name | Middle Name | Last Name | KTP | NPWP | Paspor | Business Registration Number | Tanggal Lahir | Tempat Lahir | Status | Keterangan |
|----|---------|----------------|------------|---------|-------------|-----------|------------------|------|--------|------------------------------|---------------|-----------------|--------|------------------------------|
| 1. | 9Y000 | TESTDUKCAPIL | | YOLANDA | | | 127204440XX50003 | | | | 05-MAY-1995 | Pematangsiantar | Failed | DOB or Gender does not match |

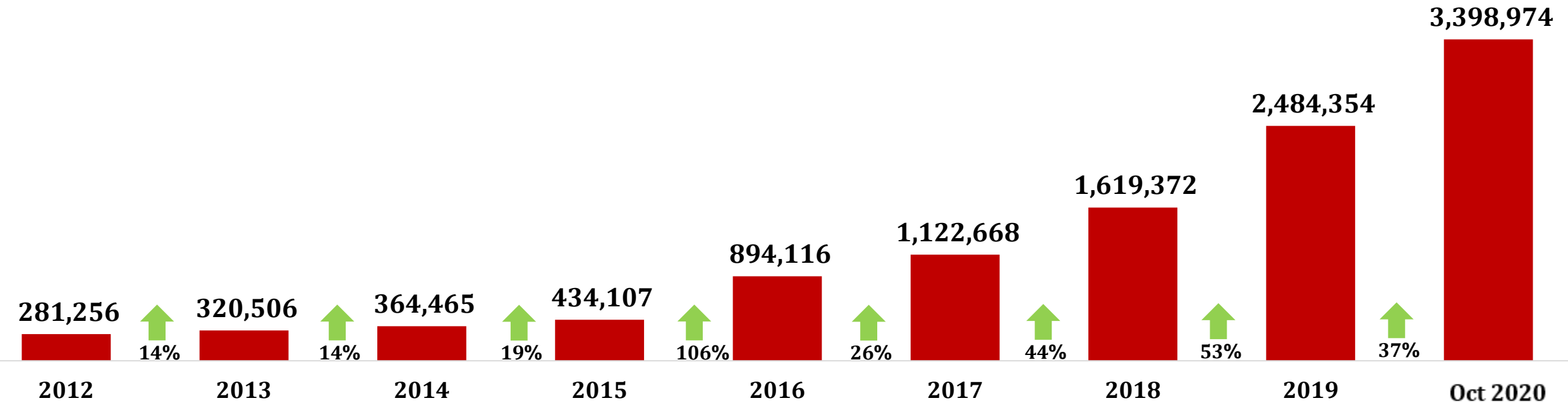
Notes:

- NIK : ID number for Local Individual investors

| Challenges | Key Success Factors |
|--|--|
| <ol style="list-style-type: none">1. Outdated data investors have not been updated by KSEI participants2. The data from the incoming KSEI Participants are not valid, there is a potential for double SID3. Dependence on the validation of reference sources4. Manual data validation process for foreign individual and institutional investors | <ol style="list-style-type: none">1. One investor has one SID2. There is a valid data source that becomes a reference for all type of investor3. Checking data regularly to ensure the accuracy of the data stored in KSEI |

Flow of SID Creation and Utilization





- Mandatory use of SID

- KSEI's cooperation agreement with Ditjen Dukcapil

- Implementation of NIK offline validation to Dukcapil

- The use of SID is mandatory for Mutual Fund Investors and investors holding Government Securities

- Cooperation agreement between KSEI participants and Ditjen Dukcapil

- Implementation of NIK online validation to Dukcapil

- Implementation of Account Opening Simplification



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