

SERVICES RELATED TO ACCESS TO FOREIGN MARKETS



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GENERAL INFORMATION



IN 2019, THE INTERNATIONAL RATING COMPANY THOMAS MURRAY ASSESSED THE COMPLIANCE OF NDU'S ACTIVITIES WITH THE CPMI-IOSCO PFMI PRINCIPLES. THIS IS AN IMPORTANT STEP TOWARDS TRANSPARENCY AND OPENNESS, INCREASING CONFIDENCE IN A SYSTEMICALLY IMPORTANT INFRASTRUCTURAL ELEMENT OF THE UKRAINIAN MARKET. STATUS - BROADLY OBSERVED, NDU'S ACTIVITIES LARGELY COMPLY WITH PRINCIPLES



ON 30 SEPTEMBER 2019, NDU INTRODUCED A NEW IT PLATFORM OF THE DEVELOPER WITH YEARS OF SUCCESSFUL EXPERIENCE IN IMPLEMENTING DEPOSITORY RECORDKEEPING SYSTEMS - PERCIVAL SOFTWARE LIMITED. THIS RESULTED IN THE IMPLEMENTATION OF THE EUROPEAN POST-TRADE STANDARDS ON THE UKRAINIAN STOCK MARKET.



WE PROVIDE A WIDE RANGE OF FOREIGN SECURITIES SERVICES TO OUR CLIENTS, SUCH RECORDKEEPING, INCOME PAYMENT, REDEMPTION, SERVICES RELATED TO OTHER CORPORATE OPERATIONS OF ISSUERS, ASSISTANCE IN GETTING PERMISSION FOR LISTING OF THESE INSTRUMENTS ON THE TERRITORY OF UKRAINE



TODAY, THANKS TO NDU'S ACCOUNTS OPENED WITH OTHER DEPOSITORIES, UKRAINIAN LEGAL ENTITIES AND INDIVIDUALS HAVE THE OPPORTUNITY TO INVEST IN SECURITIES OF FOREIGN ISSUERS



NDU
NATIONAL
DEPOSITORY
OF UKRAINE

\$46.87
MILLION

**TOTAL VALUE OF FOREIGN SECURITIES IN CUSTODY AS OF THE
END OF MAY 2021**



clearstream

DEUTSCHE BÖRSE
GROUP



ACCESSIBILITY OF FOREIGN MARKETS VIA THE LINK WITH CLEARSTREAM



clearstream

DEUTSCHE BÖRSE
GROUP

DO:
1

DO:
2

DO:
3

MARKETS OF SOUTH AMERICA (ARGENTINA, URUGUAY)

SOUTH AFRICA

EUROPEAN MARKETS

MARKETS OF NORTH AMERICA (CANADA, USA, MEXICO)

ASIAN-PACIFIC REGION (SINGAPORE, CHINA, JAPAN, NEW ZEALAND, AUSTRALIA ...)





FOREIGN SECURITIES MARKET STRUCTURE



FOREIGN SECURITIES



NOT LISTED



LISTED

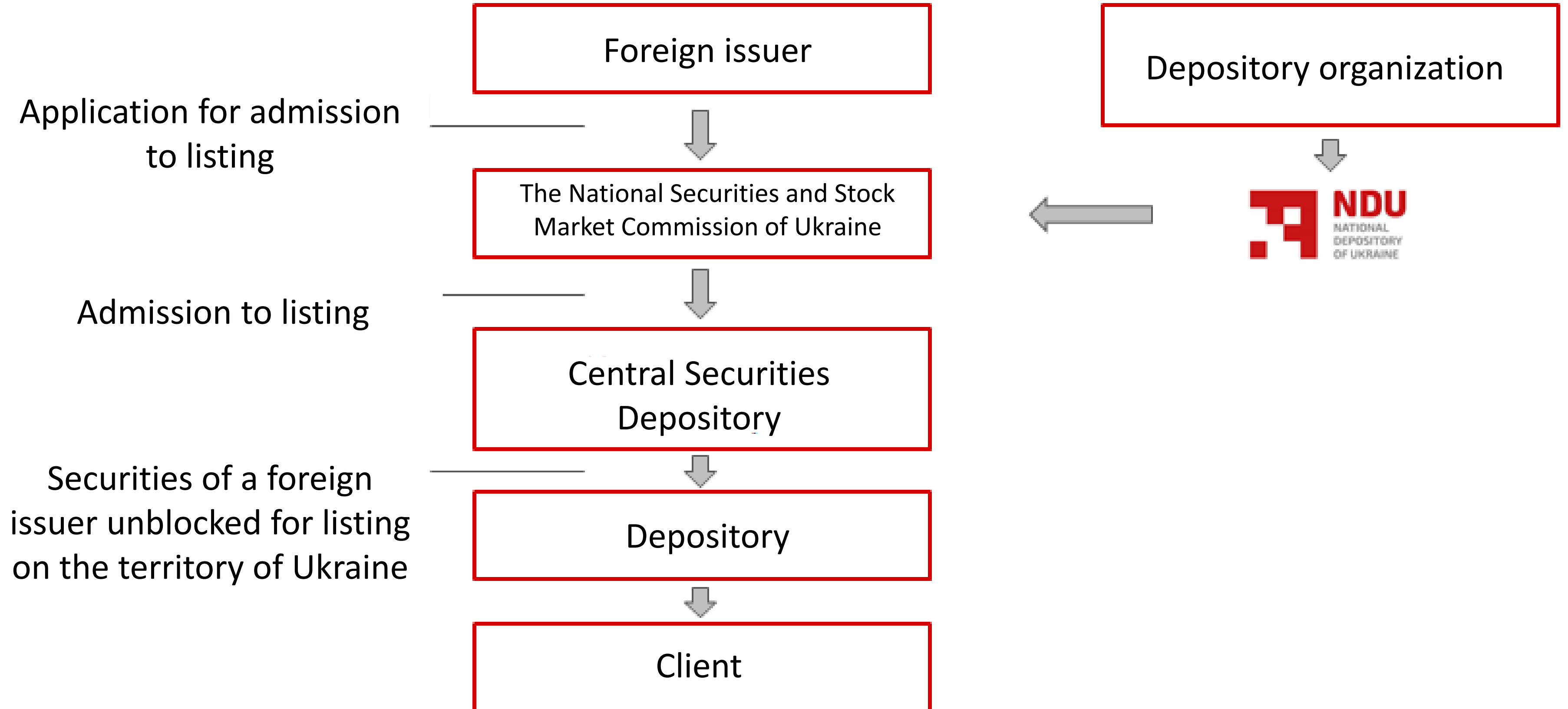
- DEPOSITING TO AN ACCOUNT, WITHDRAWING FROM AN ACCOUNT ABROAD
- INCOME PAYMENT, REDEMPTION
- CONFIRMATION OF OWNERSHIP RIGHTS
- A NUMBER OF EXCEPTIONS, INCLUDING INHERITANCE, SUCCESSION, JUDGEMENT OF COURT, ETC.

- ALL OPERATIONS ALLOWED FOR NON-LISTED SECURITIES
- SALE AND PURCHASE INSIDE THE COUNTRY, INCL. ORGANIZED MARKETS
- USE AS COLLATERAL

AS AT THE END OF MAY, 31 ISSUES OF SECURITIES ARE IN CUSTODY AT NDU, 29 OF THEM ARE LISTED

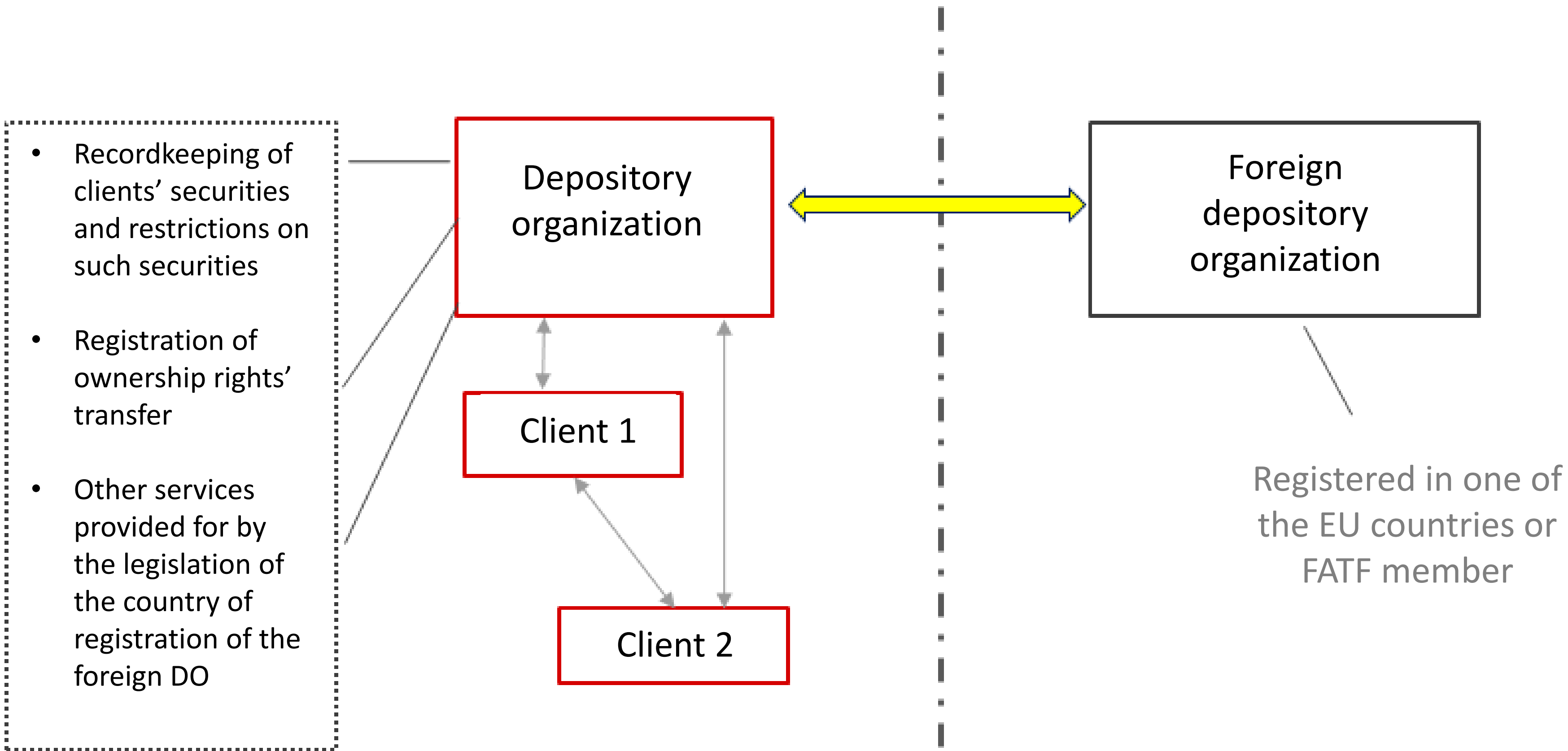


FOREIGN SECURITIES LISTING ON THE TERRITORY OF UKRAINE - 1



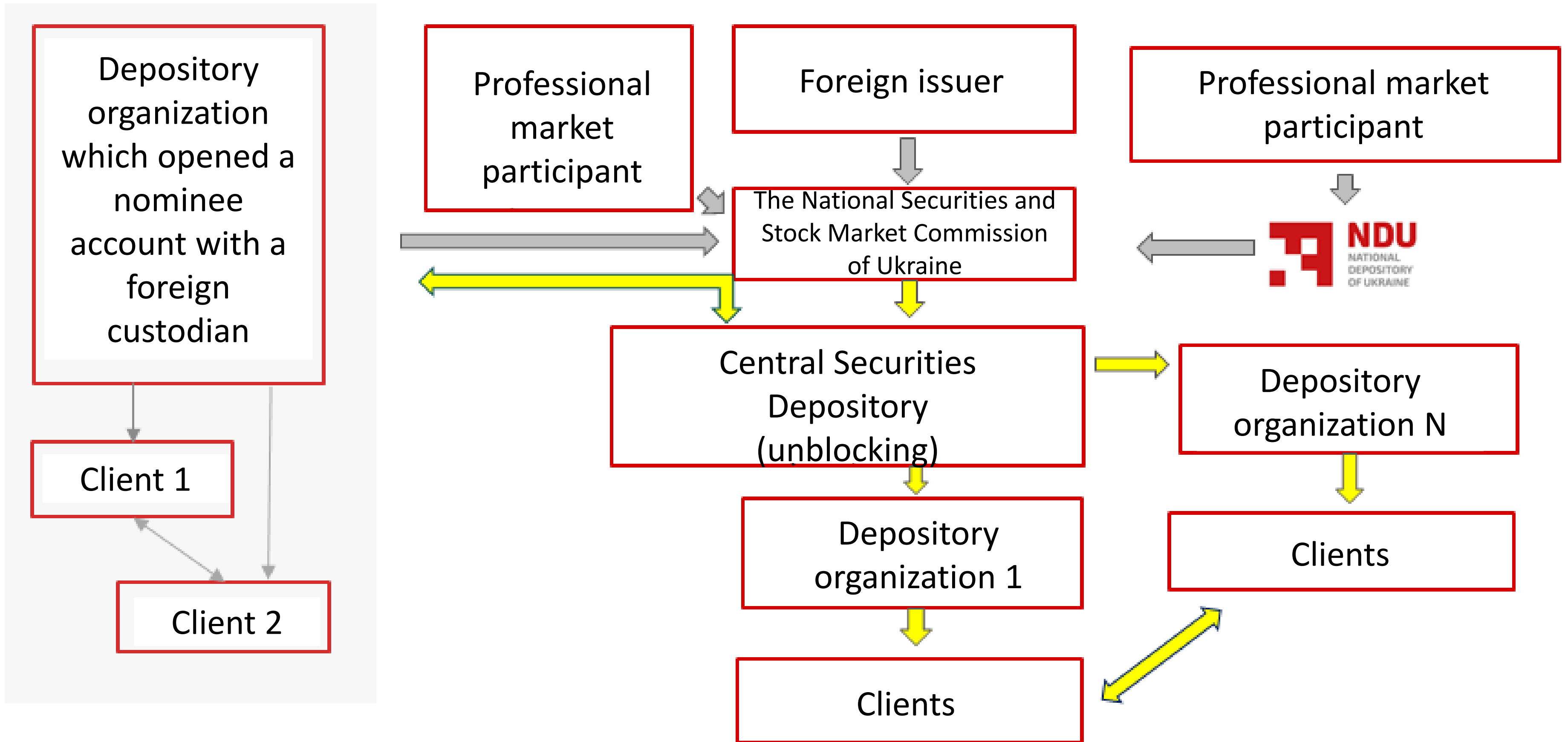


FOREIGN SECURITIES LISTING ON THE TERRITORY OF UKRAINE - 2





FOREIGN SECURITIES LISTING ON THE TERRITORY OF UKRAINE - 3





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